Looking for greater utility from a key business relationship?
RBC
Clearing & Custody
Attentive service
Innovative technology

Fiscal strength
Broad product offerings
RBC Clearing & Custody
250 Nicollet Mall, Suite 1700
Minneapolis, MN 55401
Expect more from your clearing provider. How much? See inside.
Sample A. Sample
Sample Company
123 Any Street
Anytown US 12345 6789

Vision
To be considered among the most trusted and successful clearing and custody institutions in the U.S.
Technology
Current Provider
Ongoing financial and human capital investment for continued innovation
You have a say in technology development priorities
Connectivity in all leading order management systems
Trade allocation and account opening solutions
Consultation, training and support available when and how you want
Integrated operational workflow platform
Platform open architecture
Easily integrate with 100+ leading financial technology vendors
Visible technology roadmap
Purpose
Helping independent broker-dealers, registered investment advisors and their clients thrive and prosper.
Service and strength
Current Provider
Impeccable world-wide reputation for fiscal strength and responsibility
Dedicated client service manager to assist you (no call centers)
All U.S. and Canada based support staff with expertise to resolve issues
Trading away from clearing provider fully supported
Long-tenured management focused on clearing business and your success
Support all major financial products
Robust correspondent firm growth
Real time trade risk management
How does your clearing provider measure up?
Beyond processing your transactions and providing operational support, we can help your firm check all the other important boxes on your wish list.
Compare us to the competition and contact me today.
David Aronow
Business Development Manager
(813) 712 2712
david.aronow@rbc.com
Clearing & Custody
www.rbcclearingandcustody.com
RBC Clearing & Custody is division of RBC Capital Markets, LLC.
Member NYSE, FINRA/SIPC
Solutions
Current Provider
Trade billing and trade support
Access to expertise to help support your critical business needs and questions
Foreign trade settlement capabilities
Foreign currency capabilities
Real time trade settlement monitor
Trade compression allowing for operational and cost efficiency
Access to stock loan desk
RBC Capital Markets execution connectivity
Goal
To be an innovative, client-focused provider of a full-suite of financial solutions.
"As our business continues to grow, it is important for us to be aligned with a clearing provider that is organized and streamlined.
The improved responsiveness and access to a greater number of solutions has proved to be a great fit for Lake Street and has allowed us to achieve new heights.
I think other institutional broker-dealers should know RBC Clearing & Custody provides a strong, comprehensive service and that we've been very pleased with our move."
Tom Culicin
Founding Partner, CEO
Lake Street Capital Markets, LLC
Read more testimonials from your peers who transitioned to us!
Promise
We'll help you make a difference in your business.

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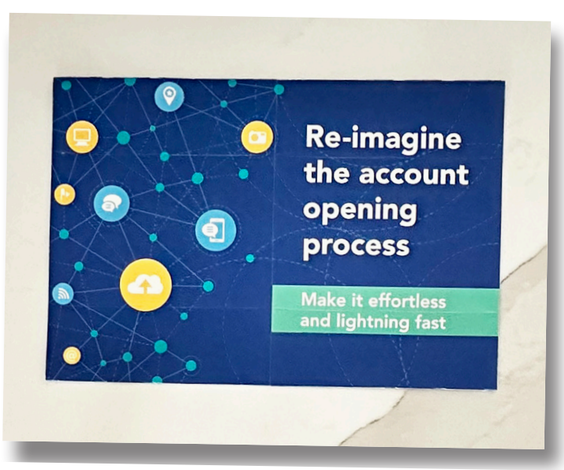
Re-imagine the account opening process
Make it effortless and lightning fast

Displays only the relevant questions dynamically.
Creates "in good order" paperwork the first time.
Allows one-click client signature EVERYWHERE, from ANYWHERE.
Enjoy a fully digital account opening experience...
With RBC Account Opening and Servicing (RBC AOS), developed in collaboration with Docupace, you can reduce account opening time from days to just minutes.
...for clients, advisors and back office staff.
Eliminates keying information more than once.
Offers customized workflows for unique needs.
Displays account number on paperwork BEFORE client reviews.
RBC
Docupace

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Unlock the full potential
of next-generation
financial services technology

Your key to help unify,
simplify – and elevate –
your technology experience
Clearing &
Custody

Visit us at booth 612...
For hands-on demos of our innovative technology solutions. See how we can help make a difference in your business.
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Bring this key with you...
For your chance to win a Solo Stove Bonfire 2.0 – a $279.99 retail value.
One (1) key distributed at random will unlock safe deposit box to win prize. Owner of key must provide shipping address for delivery within 8-10 weeks. Void where prohibited.
... And attend our special presentation
When Old School Values Meet New School Technology –
How RBC Blends Them in Today's World
Wednesday, March 15
1:30 pm - 2:00 pm
Ybor I/II/III
Find out how we have blended old school values with new school technology to help your peers achieve intended results and sometimes surprising outcomes. You'll learn what has worked well in addition to what has been less successful.
RBC Performance Reporting – for insightful analytics
RBC Trading – to gain efficiency
RBC AOS – to streamline account opening and servicing
RBC Nexus – to get more done, quickly and easily

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For hands-on demos of our innovative technology solutions. See how we can help make a difference in your business.
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RBC Performance Reporting – for insightful analytics
RBC Trading – to gain efficiency
RBC AOS – to streamline account opening and servicing
RBC Nexus – to get more done, quickly and easily
RBC Marketplace – to round out your tech stack
RBC BLACK – to boost productivity

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Open me now to see
what you may have already won
then visit us at booth 612
Your key to help unify,
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Custody

Expect more from your clearing and custody provider
INNOVATIVE TECHNOLOGY
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RBC

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RBC

Thanks for visiting our booth at the SHIFT conference in San Antonio
Contact me to schedule a tech demo and I'll send you a complimentary sleeve of Titleist PRO V1 golf balls.
RBC Heritage
Leonard Palmer
Business Development Manager
(800) 396-3248
Leonard.palmer@rbc.com
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Explore all five ways we'll make a difference in your business
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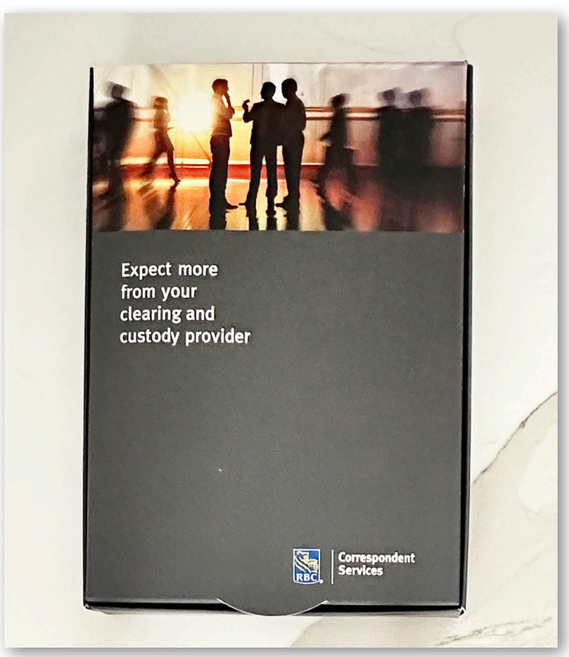
Expect more from your clearing and custody provider
Correspondent Services

Service
Strength
Solutions
Insights
Correspondent Services
Daniel H. Pink
Service • Strength • Solutions • Insights
SECRETS OF PERFECT TIMING
NEW YORK TIMES-bestselling author of DRIVE and TO SELL IS HUMAN
SERVICE
When is the right time to consider a new clearing and custody relationship?
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